BLAKELY SOKOLOFF TAYLOR & ZAFMAN

1279 Oakmead Parkway Sunnyvale, Ornia 94086 (408) 720-8300 Telephone (408) 720-8383 Facsimile

Facsimile Transmittal Sheet

#4

A Partnership Including

Date: 3/12/2002

URGENT

Deliver to: Examiner Stokes

Fax No. (703) 308-7751

FROM BSTZ:

From: Michael A. Bernadicou

Operator: Teresa Edwards

Page 1 of __12__

To Firm: Us Patent And Trademark Office

Phone: (703) 308-1202

Your Ref: 09/715,282

Our Ref: 042390.P8276

Title: SLURRY AND METHOD FOR CHEMICAL

MECHANICAL POLISHING OF COPPER

Message:

Dear Examiner:

Per our telephone conversation, please find enclosed a copy of an Request for Correction of Filing Receipt mailed to the PTO on January 25, 2002.

Please let me know the status of receiving a Corrected Filing Receipt with a corrected filing date and Attorney Docket number. This correction is urgently needed to allow for filing in a foreign country. We are also in need of correcting the Assignment once the Update Filing Receipt is received.

Please do not hesitate to contact me with any questions or comments. Thank your very much for your assistance in this matter.

Best regards.

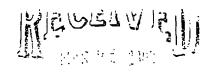
Teresa Edwards Secretary to Michael A. Bernadicou (408) 720-3423

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FYOU EXPERIENCE ANY DIFFICULTY IN RECEIVING THE ABOVE PAGES, PLEASE CALL (408) 720-8598 AND ASK FOR THE OPERATOR NAMED ABOVE

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BLAKELY, The State of the State

STATUS DB-LA

Serial/Pecent No.: U9/715,262	Filing/Issue Date:
Client Intel Congration	
Fitle: SLURRY AND METHOD FOR C	CHEMICAL MECHANICAL POLISHING OF
COPPER	
BSTZ File No.: 042390P8276	Auy/Secty Initials: MAB/te
Date Mailed: JANUANY 24, 2062-	Docket Due Date:
The following has been received in the U.S. Pa	atent & Trademark Office on the date stamped hereon:
Amendment/Response (pgs.)	Express Mail No.: Check No
Appeal Brief (pgs.) (in triplicate)	Month(s) Extension of Time Amb
Application - Utility (pgs., with cover and abstract)	☐ Information Disclosure Scientific & PTO (449) (pgs.) ☐—Check No
Application - Rule 1.53(b) Continuation (pgs.)	Issue Pee Transmittal
Application - Rule 1.53(b) Divisional (pgs.)	Notice of Appeal
Application - Rule 1.53(b) CIF (pgs.)	Pedition for Extension of Time
Application - Rule 1.53(d) CPA Transmittal (pgs.)	Period for FEB 1 9 2002
☐ Application - Design (pgs.)	Postcard \
Application - PCT (pgs.)	Power of Attorney (pgs.)
Application - Provisional (pgs.)	Preliminary Amendment (pgs.) Reply Brief (pgs.)
Assignment and Cover Sheet	Reply Brief (pgs.)
Certificate of Mailing	Response to Notice of Missing Parts
Declaration & POA (, pgs.)	☐ Small Entity Declaration for Indep. Inventor/Small Business
☐ Dischare Dres & Oir, & Copy of The more Shired Later (☐ Transmittal Letter, in duplicate
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Mail Receipt and DPOA as o	n of Filing Receipt; copy of Express riginally submitted on November 16, 2000
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Serial/Remar No. 09/715,282	Filing/Issue Dane: November 16, 2000			
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BSTZ File No.: 042390P8276	Any/Secty Initials: MAB/te			
Date Mailed: JANUARY 24, 2402	Docket Due Date:			
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AmendmentResponse (pgs.)	Express Mall No.: Check No			
Appeal Brief (pgs.) (in triplicate)	Month(s) Extension of Time Ame			
Application - Utility (pgswith cover and abstract)				
Application - Rule 1-53(b) Continuation (pgs.)				
Application - Rule 1.53(b) Divisional (pgs.)	Notice of Appeal			
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Application - Rule 1.53(d) CPA Transmitted (pgs.)	Pennion for			
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Application - PCT (pgs.)-	Power of Amoroey (pgs.)			
Application - Provisional (pgs.)	Preliminary Amendment (pgs.)			
Assignment and Cover Sheet	Reply Brief (pgs.)			
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Declaration & FOA (pgs.)	Small Parity Declaration for Indep. Inventor/Small Business			
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Drawings: of sheets includes figures	Fee Transmittat; in duplicate.			
Description Comments	of Edding Boodst conv. of Evarence			
Other Request for Correction	of Filing Receipt; copy of Express			
	iginally submitted on November 16, 2000;			
Copy of incorrect Filing Receipt				

Atty. Docket No.: 042390P8276 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applio	tation of:) <pre>) Examiner:</pre>	Unassigned	
	Anne E. Miller et al.	,)	_	
) Art Unit:	Unassigned	
Serial No.:	09/715,282)		
Filed:	November 16, 2000))))	FIRST CLASS CERTIFICATE OF MAILING (37 Cf.r.§ 1.8(a))	
For: SLURRY AND METHOD FOR CHEMICAL MECHANICAL POLISHING OF COPPER Assistant Commissioner of Patents Washington, D.C. 20231) deposited	I hereby certify that this correspondence is being deposited with the United States Poetal Service as first class mall with sufficient postage in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on Edward 24 2002	
		on 10		

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

On a Filing Receipt for the above referenced patent application filed November 16, 2000, the filing date and Attorney docket number was recorded incorrectly as follows:

Filing Date: November 17, 2000 Attorney Docket No.: 042390.P8726

The correct filing date and Attorney docket number is as follows:

Filing Date: November 16, 2000 Attorney Docket No.: 042390.P8276 Enclosed is a copy of the incorrect Filing Receipt and with the incorrect filing date and Attorney docket number stamped thereon. Also enclosed is a copy of the Express Mail Receipt which indicates that the Application was indeed filed on November 16, 2000 and a copy of the Declaration and Power of Attorney with the correct Attorney docket number stamped thereon.

Applicant respectfully requests a corrected Filing Receipt. If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 1/24 ____ 2002

Michael A. Bernadicou

Reg. No. 35,934

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (408) 720-8300



ENTERED



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COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 S DB-LA www.uspto.gov

FIL FEE REC'D ATTY. DOCKET. NO DRAWINGS TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE GRP ART UNIT 1156 042390.P8726 27 09/715,282 11/17/2000 1765

08791 BLAKELY SOKOLOFF TAYLOR & ZAFMA 12400 WILSHIRE BOULEVARD, SEVENT LOS ANGELES, CA 90025

FILING RECEIPT

Date Mailed: 02/26/2001

BLAKELY, SOKOLOFF, TAYLOR & ZAFRIAN

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Anne E. Miller, Residence Not Provided; A. Daniel Feller, Residence Not Provided; Kenneth C. Cadien, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

BECEIVED

If Required, Foreign Filing License Granted 02/24/2001

MAR D 8 2001 あいいしょ コカトオンオジロ レセプル

Title

Slury and method for chemical mechanical polishing of copper

Preliminary Class

Data entry by : BRITTON, PAULA

Team: OIPE

Date: 02/26/2001

JU



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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231